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28 DEC 2001 7/15/02

Docket No. 211-213

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s) :** Dolores Garcia Gravalos et al.      **Group Art Unit:** To Be Assigned

**Serial No.** : To Be Assigned      **Examiner** : To Be Assigned

**Filing Date** : Enclosed herewith

**For** : NEW INDOLOCARBAZOLE ALKALOIDS FROM  
A MARINE ACTINOMYCETE

Commissioner for Patents

Box PCT

Washington, D.C. 20231

**Attention: DO/EO/US**

**PRELIMINARY AMENDMENT**

Sir:

Prior to the substantive examination of the subject application please amend thereof as follows:

In the claims:

Please amend claims 12 and 15-18 as follows. (A marked-up version of the claims is presented on pages 1-2 of the Appendix 1 attached herewith).

a1 12 (Amended). A process for the production of a compound of formula (1) as define in claim 1, or a pharmaceutically acceptable salt thereof, comprising cultivating a strain of a microorganism capable of producing a compound of formula (1), recovering the compound of formula (1) from